

Client Application - Placings and IPOs

teathers.

To open an account please complete this form and return it to us at the address listed below.

| | | |
|-----------------------------|----------------------|----------------------|
| Title * | First Name * | Last Name * |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Email Address * | Phone Number * | Mobile Number |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Date of Birth (dd/mm/yy) * | | |
| <input type="text"/> | | |
| Town of Birth * | Country of Birth * | Nationality * |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| House Number, Street Name * | City * | Post Code * |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

Please indicate your level of education* (Tick all that apply)

- Professional / Postgraduate qualifications
- Higher Education / Bachelor's Degree
- Secondary Education

| | | |
|----------------------|--|----------------------|
| Bank Name * | Account Name * | |
| <input type="text"/> | <input type="text"/> | |
| Account Number * | Sort Code * | N.I Number * |
| <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> | <input type="text"/> |

Eligibility Questionnaire *

In order to assess your eligibility for a Teathers Placings and IPO account, we need a few more details about your knowledge and past experience with investments.
You must ensure that any and all information submitted is accurate and not misleading in any way.

Are you employed in the financial services industry? *

- Yes
- No

If so, since when and in what capacity?

How long have you been investing in quoted companies? (including AIM) *

- Never
- Up to 1 Year
- 1 - 5 Years
- 5+ Years

What kind of companies have you previously invested in? (Tick all that apply) *

- AIM companies
- Fully listed companies
- Unlisted companies
- I have no investment experience

How long are you able to afford having your investments tied up for? *

- N/A
- 2 Years or less
- 2 - 5 Years
- 5+ Years

Continued overleaf

Teathers Financial Plc

The Plaza, 535 Kings Road, London, SW10 0SZ // +44 207 148 0592 // www.teathers.com // info@teathers.com

Company Registration Number: 92343 // VAT number: GB 205 373 684

Teathers Financial Plc is quoted on AIM, a market operated by the London Stock Exchange CODE:TEA

How do you rate your level of experience with investing in AIM companies? *

- Very Experienced
- Somewhat Experienced
- Basic Experience
- Little Experience
- No Experience

What types of brokerage have you used before? (Tick all that apply) *

- Shares
- Futures
- Bonds
- Units in Funds
- No experience with any financial instruments

What value of assets have you invested in quoted companies in the last 12 months? *

- None
- £0 - £10,000
- £10,000 - £25,000
- £25,000+

Have you signed a statement in the last 12 months that certifies you as one of the following types of investor? *

- A certified high net-worth individual
- A certified sophisticated investor
- A self-certified sophisticated investor
- None of the above

Identity Confirmation *

To comply with FCA regulations we are required to verify your identity by validating certain documents.

Please enclose two of the following original documents (detailed below)

- An original printed Bank/Building Society Account statement for the account used above.
(We will accept a printed online statement if it is enclosed together with a cheque from the same account crossed through with "VOID" or a letter from your bank confirming your details).

AND One of the following: *

- Utility bill (*gas, water, electricity or home phone*).
- Copy of your current signed passport or driving licence (*certification must be performed by a UK lawyer, bank manager or accountant*).
- HMRC correspondence or tax notification (*e.g. tax assessment, statement of account, notice of coding*).
- Benefit notification from the Benefits Agency confirming a right to benefits or State Pension

As with bank statements, these documents must be originals sent to you in the post and not ones printed by you from the internet.

Declaration *

I declare that the information on this application form submitted by me is true to the best of my knowledge and belief.

I have read, understood and accept the Terms and Conditions and agree to the Data Protection Policy.

I also acknowledge that this service does not offer legal or tax advice.

By sending this application to us you authorise the use of the data provided to a credit reference agency for the purpose of verifying your identity. A record of the search will be made.

Signature *

I have read and agree to be bound by the Terms and Conditions and would like you to open an account in my name.

I declare that the information on this application is true to the best of my knowledge.

I accept that you may in your absolute discretion decline this application without giving a reason.

By becoming a client you consent to us holding and using information as set out in our Terms and Conditions.

Signed:

Date:

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